

Intonation, Adjunction, and Verb-Initial Word Order in Tagalog

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4 **Abstract**

5 Most research on the syntax-phonology interface assumes that prosodic structures
6 are calculated from syntactic structures by a set of mapping principles. It follows from
7 this view that phonological evidence concerning prosodic phrasing should be able to
8 be used to deduce certain aspects of the syntactic structure of a language. In this pa-
9 per I present a preliminary investigation of intonation in the Austronesian language
10 Tagalog, focussing on pitch rises and pitch falls and their systematic distribution in
11 relatively simple clauses. Given certain assumption concerning the mapping from
12 syntax-to-phonology, I offer an argument based on of the distribution of these pitch
13 contours that verb-initial word order in Tagalog motivates an analysis involving ‘sub-
14 ject lowering’ over some of the more conventional analyses of verb-initial word order
15 that have been proposed. The specific version of subject lowering that I argue for here
16 is one in which it operates over a syntactic representation, but is also prosodically mo-
17 tivated. If correct, this entails a view of the interaction between syntax and phonology
18 that goes beyond exclusively modular views according to which operations affecting
19 syntactic representations have no access to the (eventual) phonological representation
20 of a sentence. I will argue, instead, that information about the phonological represen-
21 tation of a sentence must, at least in a limited sense, be available prior to the actual
22 construction of the phonological representation.

23 *Key words:* Syntax-phonology interface, syntax-to-phonology mapping, intonation,
24 modularity, verb-initial languages, adjunction.

25 1 Introduction

26 This paper is broadly concerned with the relationship between syntactic phrasing and
27 phonological phrasing in Tagalog (a Western Austronesian language, native to the Philip-
28 pines). The main empirical investigation centers around the distribution of pitch rises and
29 pitch falls in relatively simple sentences. The distribution of these pitch contours, we will
30 see in Section 2 and Section 3, is systematic and—for the most part—amenable to a fairly
31 simple description as well as to a straightforward analysis that makes reference to recur-
32 sive phonological phrasings derived by a simple syntax-to-phonology mapping principle,
33 MATCH PHRASE (Selkirk 2011; Elfner 2012, to appear; Bennett et. al. to appear). While
34 the distribution of pitch contours is more or less categorical for the most part, a difficult
35 analytical challenge is raised by clauses containing three post-verbal arguments. In such
36 clauses, the argument that surfaces in a clause medial position appears to be optionally
37 associated with a pitch rise aligned at its left edge.

38 Although an apparently minor fact, I will argue that this optional pitch rise provides im-
39 portant evidence for two key claims concerning clause structure in Tagalog. Specifically,
40 I argue in Section 5 on the basis of this optional pitch rise that subjects as well as indirect
41 objects are syntactically adjuncts (specifically, VP-adjuncts). The claim that subject are
42 syntactically adjoined in Tagalog is pre-figured by the subject-lowering analysis argued for
43 in Sabbagh (2005, 2014) to account for verb initial word order and other word order per-
44 mutations that are attested in Tagalog. Once certain assumptions about the correspondence
45 between syntax and phonology are made (in Section 3.1 and Section 4), we will argue that
46 an alternative, more conventional, analysis of verb initial word order and word order per-
47 mutation in Tagalog is unable to lend itself as the basis for an account of the optional pitch
48 rises. Overall, then, this work aims to bring prosodic evidence (via an examination of into-
49 nation) into a long-standing debate concerning clause structure and the derivation of verb
50 initial word order in Tagalog, which has broader implications for the study of verb-initial
51 languages more generally (for a review of the issues, see Clements & Polinsky to appear;
52 and the collection of works in Carnie & Guilfoyle 2000; and Carnie, Harley, & Dooley
53 2005).

54 The argument for subject lowering, if valid, will be argued in Section 5 to have im-
55 portant additional consequences for theoretical views concerning the interaction of syntax
56 and phonology more broadly. In particular, this work follows Sabbagh (2014) in claim-
57 ing that subject lowering is prosodically motivated. At the same time, I will present an

58 argument here that subject lowering also applies to a syntactic representation rather than a
59 prosodic one, and that the prosodic motivation for subject lowering is actually obscured, in
60 some cases, by the final prosodic representation. All of this is inconsistent with the claim
61 (Pullum & Zwicky 1988) that operations that affect syntactic representations have no ac-
62 cess to phonological information. It is, however, compatible with the view argued for by
63 Richards (2014) in recent work (see also Richards 2010) according to which syntactic oper-
64 ations have limited access to phonological representations in so far as they operate (at least
65 in some cases) to build “a kind of ‘rough draft’ of the final phonological representation,
66 which can differ from the final phonological representation.” (Richards 2014: 2).

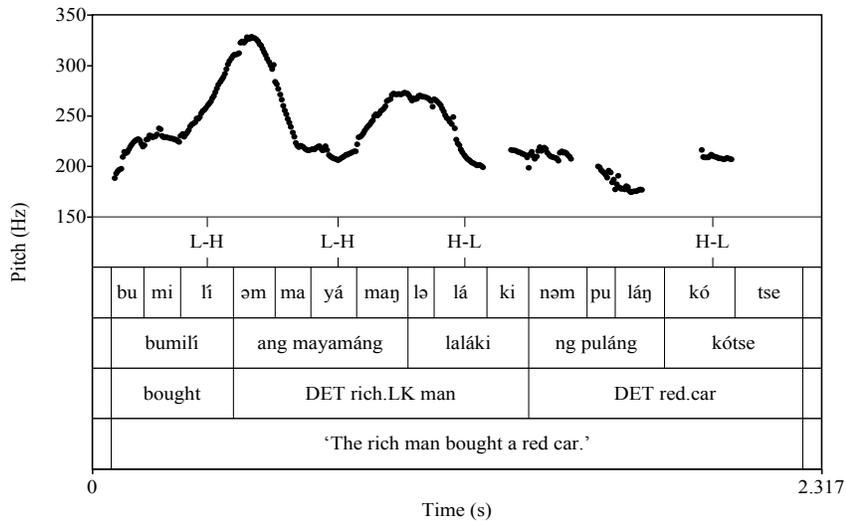
67 **2 The Basic Patterns**

68 Tagalog is a head-initial, predicate-initial language. In the post-predicate domain (i.e. fol-
69 lowing the verb), arguments may typically occur in any order.¹ The intonation of neutral
70 declarative sentences can generally be described in terms of a series of pitch rises and pitch
71 falls distributed throughout the sentence and typically aligned with stress syllables. Con-
72 sider, for instance, sentence (1) with VSO word order. The intonational contours for this
73 sentence are illustrated by the pitch track in (2).

74 (1) *Bumili ang mayamang lalaki ng pulang kotse.*
bought S rich.LK man NS red.LK car
75 ‘The rich man bought a red car.’

¹All arguments are preceded by a case marker that indicates its grammatical function. The abbreviations used in the glosses are as follows: S = subject, NS = non-subject/non-oblique, OBL = oblique. Modification of a noun by an adjective is morphosyntactically ‘flagged’ by the presence of a LK (=linker).

76 (2) PITCH TRACK FOR (2)



77 Observe that there is a clear rise in pitch (=F₀) associated with the stressed syllable of
 78 the sentence initial verb, which reaches its peak by the end of this syllable. A similar rise in
 79 pitch occurs on the stressed syllable of the pre-nominal adjective (*mayamang* ‘rich’) which
 80 modifies the immediately post-verbal subject. In what follows, I will analyze these pitch
 81 rises as instances of a LOW-HIGH (L-H) phrase accent. Note that not all stressed syllables
 82 are associated with a L-H phrase accent. In particular, there is no discernible pitch rise
 83 on the pre-nominal adjective of the clause final object. Note furthermore that the stressed
 84 syllable of the head noun of the immediately post-verbal subject (*lalaki* ‘man’) appears to
 85 be associated with a fall rather than a rise in pitch—i.e. a fall in F₀ that reaches a low point
 86 roughly by the end of the syllable. A similar pitch fall appears on the stressed syllable of
 87 the head noun (*kotse* ‘car’) of the clause final direct object. I will analyze these pitch falls
 88 throughout as instances of a HIGH-LOW (H-L) phrase accent.²

89 While the full inventory of pitch contours in Tagalog remains a matter for further study,
 90 the L-H and H-L contours described above appear consistently and with a fairly systematic
 91 distribution. This study will therefore be confined to a discussion of these two phrase accent
 92 types, with particular attention being paid to the distribution of the L-H phrase accent.
 93 The data for this study is based on recordings from two native speakers of Tagalog (both
 94 female), from whom I recorded approximately 325 sentence utterance total. The majority

²The pitch fall associated with the clause final object is decidedly less pronounced than the pitch fall associated with the subject, a fact that I attribute to a drop off in voice at the periphery of the sentence.

95 of sentences used as stimulus for the recordings involved a sentence initial verb followed
96 by three arguments (subject, object, and indirect object) in varied word orders. Two types
97 of clauses were also examined: active clauses (also know as ‘Actor-topic’ clauses) and
98 passive clauses (also known as ‘Theme-topic’ clauses). The orders investigated for active
99 clauses are listed in (3), while those for passive clauses are listed in (4).³

- 100 (3) a. V S O IO
101 b. V O S IO
102 c. V O IO S
103 (4) a. V AG O IO
104 b. V AG IO O

105 Other word order permutations are possible for both active and passive clauses, but were
106 not investigated because they were viewed by the native speaker consultants as somewhat
107 unnatural without special context.

108 Recordings of the Tagalog sentence were analyzed for pitch using Praat (Boersema &
109 Weenink 2007). From the analysis of these pitch tracks, three key generalizations emerged
110 concerning the distribution of pitch rises (L-H phrases accents). These are summarized in
111 (5).⁴

- 112 (5) DISTRIBUTION OF L-H PHRASE ACCENTS
113 a. Immediately post-verbal XP’s show a rise on their first content word.
114 b. Clause final XP’s typically show no rise on their first content word.
115 c. Clause medial XP’s show apparent optionality with respect to presence versus
116 absence of a rise on their first content word.

117 In addition to these major patterns, which will be the main focus of this paper, there
118 are two additional patterns to note. First, there is always an intonation rise aligned with
119 the stressed syllable of the verb in sentence initial position. Second, pitch falls (H-L phrase
120 accents) appear to be aligned with the stressed syllable of the content word that occurs at
121 the right edge of every phrase regardless of the phrase’s position in the sentence.

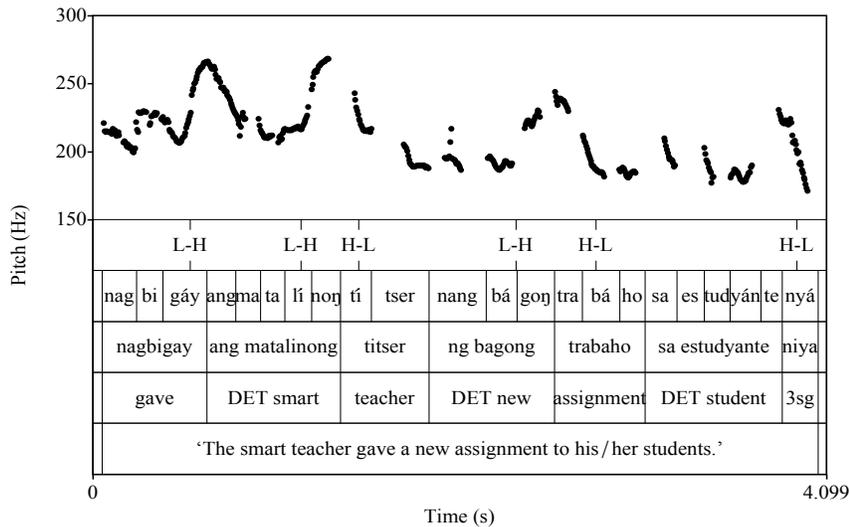
³For reasons of space, the discussion below will only concentrate on active clauses. The main generaliza-
tions discussed concerning intonation are consistent across both active and passive clauses.

⁴The patterns described here generally match up with those described in Richards (this volume).

122 The patterns described as (5a-b) are illustrated by the pitch track in (2) for sentence (1)
 123 as well as by all subsequent examples to be discussed. The pattern expressed as (5c) can
 124 be observed by comparing the pitch track in (7) for sentences (6) and the pitch track in (9)
 125 for sentence (8). Observe that both sentences consist of three post-verbal arguments in the
 126 order VSOIO. In the pitch track for (6), there is a clear pitch rise aligned to the left edge of
 127 the clause medial direct object. In the pitch track for (8), by contrast, no pitch rise appears
 128 to be associated with the clause medial direct object. Instead, the pitch seems relatively
 129 even throughout the object until it falls at the end of the object.

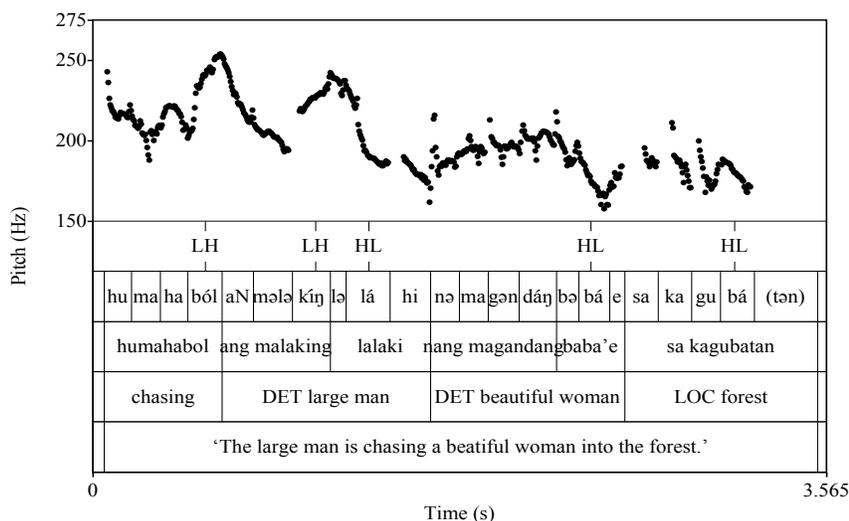
130 (6) Nagbigay ang matalinong titser ng bagong trabaho sa estudyante
 gave S smart.LK teacher NS new.LK assignment OBL student
 131 niya.
 3SG(GEN)
 132 ‘The smart teacher gave a a new assignment to his/her students.’

133 (7) PITCH TRACK FOR (6)



134 (8) *Humahabol ang malaking lalaki ng magandang babae sa kagubatan.*
 chased S large.LK man NS beautiful.LK woman LOC forest
 135 ‘The large man is chasing a beautiful woman into the forest.’

136 (9) PITCH TRACK FOR (8)



137 The tables below provide a numeric summary of an examination of the the presence or
 138 absence of a L-H phrase accent associated with a particular argument relative to its linear
 139 position in the sentence.⁵

Table 1: Distribution of Rise on Subject

Rise	Immed. post-verbal (VSOPP)	Medial (VOSPP)	Final (VOPPS)
Yes	35	19	2
No	6	19	32
Total	41	38	34

⁵Not all examples from the corpus of elicited recordings are included in this summary. Examples that were excluded were excluded due to excessive ‘noise’ in the pitch tracks.

Table 2: Distribution of Rise on Object

Rise	Immed. post-verbal (VO{S,PP})	Medial (VSOPP)	Final (V{S,PP}O)
Yes	54	19	–
No	14	21	–
Total	68	41	–

Table 3: Distribution of Rise on Indirect Object

Rise	Immed. post-verbal (VPP{O,S})	Medial (VOPPS)	Final (V{S,O}PP)
Yes	–	10	9
No	–	8	34
Total	–	18	43

140 While these tables plainly indicate that there are a few exceptions to the generalizations
141 stated in (5), they also make clear that the generalizations represent a statistically signifi-
142 cant pattern (i.e. one not due to chance). The most interesting pattern here concerns the
143 apparently optional L-H phrase accent associated with clause medial phrases. As we will
144 see, this pattern proves to be the most challenging to account for and much of this article
145 will therefore be devoted to developing an analysis of it. In Section 5, I will argue that this
146 pattern actually provides important evidence that will allow us to adjudicate among differ-
147 ent approaches to the post-verbal positioning of the subject (i.e. to verb initial word order
148 generally as well as well to how the attested surface positions of the subjects are derived).

149 3 The Distribution of Phrase Accents

150 3.1 Theoretical Preliminaries

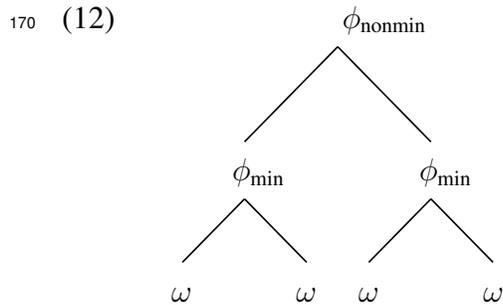
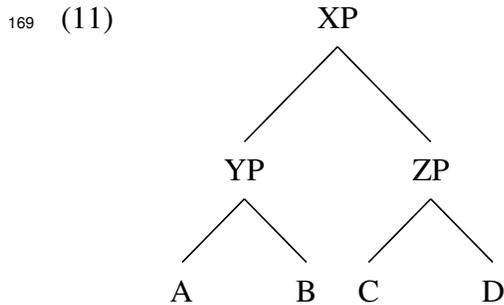
151 Following a long tradition in studies of the syntax-phonology interface, I assume in what
152 follows that the rules responsible for distributing phrase accents operate on prosodic do-
153 mains defined with respect to a prosodic structure—namely, a structures that is made up
154 of the (prosodic) categories of syllable (σ), the prosodic word (ω), and the phonological
155 phrase (ϕ). Focussing primarily on the category of the ϕ , I will additionally assume, fol-
156 lowing the same long tradition, that the prosodic category ϕ is related to syntactic phrasing
157 by means of a mapping principle that ‘matches’ the category XP (a syntactic phrase) to
158 that of ϕ (a phonological phrase). The specific mapping principle that I will assume here is

159 given in (10) (from Bennett et. al. to appear, see also Elfner 2012, to appear, and Selkirk
 160 2011).

161 (10) SYNTAX-PROSODY MAPPING PRINCIPLE (MATCH PHRASE)

162 Given a maximal projection XP in the syntactic representation S , where XP domi-
 163 nates all and only the terminal elements $\{a, b, c, \dots n\}$, there must be in the phono-
 164 logical representation P corresponding to S a ϕ -phrase which includes all and only
 165 the phonological exponents of $a, b, c, \dots n$.

167 In the absence of interacting constraints (see Section 5.2), the mapping principle in (10)
 168 will produce from a syntactic structure like (11) the prosodic structure seen shown in (12).

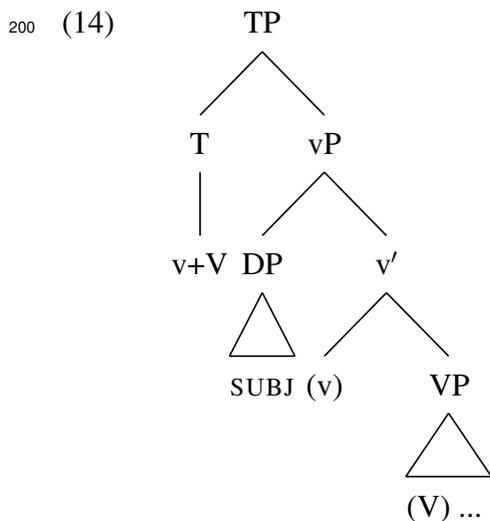


171 Note that (10) yields prosodic structures that are recursive. The prosodic structure
 172 in (12), for instance, contains an instance of the category ϕ embedded within another ϕ .
 173 The mapping principle in (10) therefore produces prosodic structures that directly violate
 174 the STRICT LAYERING requirement of prosodic structure that characterized much earlier
 175 work on the syntax-phonology interface (Nespor & Vogel 1986; Selkirk 1986; Pierrehum-
 176 bert & Beckman 1988), which required every prosodic category to dominate a subordinate
 177 prosodic category (e.g. a ϕ ought to dominate a ω , which in turn should dominate a Foot,
 178 which in turn should dominate a σ). The strict layering requirement has largely been aban-
 179 doned in more recent work (see, e.g., Ladd 1986, 1988; Kubozono 1989, 1992; Féry &
 180 Truckenbrodt 2005; Wagner 2005, 2010; Selkirk 2011; Ito & Mester 2007, 2012, 2013;
 181 Elfner 2012, to appear). In its place, however, it has proved useful (as it will here) to dis-
 182 tinguish the ϕ categories in a recursive prosodic structure like (12) in terms of their relative
 183 dominance relations. Concretely, three subcategories of the category ϕ (ϕ -max, ϕ -nonmin,
 184 and ϕ -min) can be distinguished as follows:

- 185 (13) NATURAL CLASSES OF RECURSIVE ϕ DOMAINS
 186 Maximal ϕ (ϕ_{\max}): ϕ not dominated by ϕ
 187 Non-minimal ϕ (ϕ_{nonmin}): ϕ that dominates ϕ
 188 Minimal ϕ (ϕ_{\min}): ϕ not dominating ϕ
 189 (Ito & Mester 2012, 2013, Elfner 2012, to appear)

190 3.2 Distributing L-H and H-L Phrase Accents

191 While there are many debated issues surrounding Tagalog clause structure (to be discussed
 192 in Section 5), there is fairly broad consensus that in terms of the overall hierarchy of the
 193 clause, the verb is structurally higher than all of its arguments (Kroeger 1993; Richards
 194 2000; Rackowski 2002; Aldridge 2004; Sabbagh 2013). Provisionally, I will represent this
 195 consensus view in terms of a structure like (14) corresponding to a sentence with VSO(IO)
 196 word order. This structure assumes that there is a V which heads a VP, which is contained
 197 within a vP whose specifier position contains the subject. This vP, in turn, is the comple-
 198 ment to an inflectional head (T) which defines the extended projection of the VP. In this
 199 representation, V is assumed to have undergone successive head-movement to v then to T.⁶

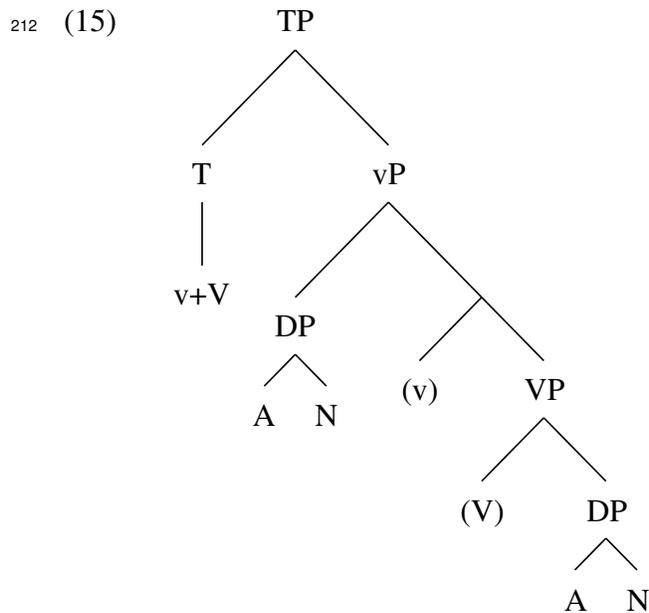


201 Note that according to (14), the immediately post-verbal position of the subject is repre-
 202 sented as being a consequence of the subject occupying in Spec, vP (its presumed thematic
 203 position) which is lower than and to the right of the surface position of the verb. As already

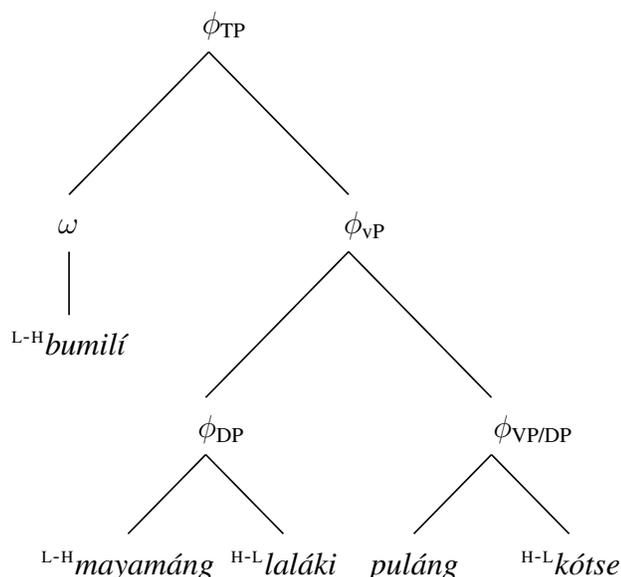
⁶Evidence that the verb moves out of the vP comes from the existence of ‘Verb-stranding VP-ellipsis’. See Richards (2003) and Section 4 of this paper for discussion.

204 noted, the subject may occur in other post-verbal positions as well. I will return to these
 205 other word orders in Section 5. Focusing for now just on VSO(IO) word order and assuming
 206 the structure in (14), we can begin to provide a preliminary analysis of the distribution of
 207 phrase accents in Tagalog.

208 Consider again sentence (1), which, based on the model of (14), would have the more
 209 elaborated structure in (15). The prosodic structure associated with this syntactic structure
 210 given MATCH PHRASE is shown in (16), which additionally shows the distribution of phrase
 211 accents observed for sentence (1).

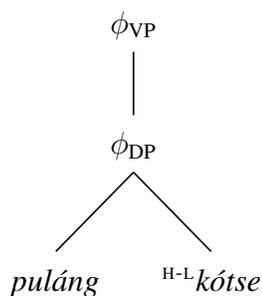


213 (16)



214 Note that the syntactic and prosodic structure are nearly but not completely isomorphic.
 215 There are two reasons for this. First, it is assumed here that determiners, and function
 216 words in general as well as all null terminal nodes (e.g. traces) do not have the status
 217 of prosodic words comparable to that of overtly expressed verbs, nouns, and adjectives.
 218 Hence, functional words as well as traces will simply be omitted from the prosodic structure
 219 (as well as, in general, from the syntactic structure) as they do not seem to play a significant
 220 role in the overall phonological phrasing. Second, given MATCH PHRASE the prosodic
 221 structure corresponding to the syntactic constituent VP in (15) would be expected to be as
 222 in (17), where both the VP and the DP it dominates correspond to independent ϕ 's.

223 (17)



224 In the structure in (16), however, the ϕ corresponding to the VP and the DP it dominates
 225 are collapsed into a single ϕ . Following Elfner (2012, to appear) and Bennet et. al. (to
 226 appear), I assume that this ‘collapsed’ ϕ is preferred over the non-collapsed representation
 227 in (17) on the basis of a general principle that requires prosodic constituents to be binary

228 branching.

229 (18) BINARITY

230 Optimal prosodic constituents are binary branching.

231 The prosodic representation in (17) clearly violates this principle, as the ϕ_{VP} is non-branching.

232 Given the prosodic structure in (16) for sentence (1), then, the distribution of phrase
233 accents for this and sentences like it can now be accounted for with the following two
234 rules:

235 (19) a. Distribution of LOW-HIGH (L-H): Assign an L-H phrase accent to the left
236 edge of a *non-minimal* ϕ .

237 b. Distribution of HIGH-LOW (H-L): Assign an H-L phrase accent to the right
238 edge of ϕ .

239 Given (19a), an L-H phrase accent is assigned only to the left-edge of ϕ_{TP} and ϕ_{VP} in (16),
240 and this phrase accent is associated with the first (content) word that is aligned to the left-
241 edge of these ϕ 's. Note, crucially, that no L-H phrase accent is assigned by (19a) to the left
242 edge of the prosodic constituent in (16) labeled $\phi_{VP/DP}$. This is because, crucially, $\phi_{VP/DP}$ is
243 a minimal ϕ by the definitions given in (13).

244 Overall, then, (19a) (combined with the current syntactic assumptions and the mapping
245 principle, MATCH PHRASE) provides a relatively simple account for the following general-
246 izations (as exemplified by sentence (1)) concerning the distribution of L-H phrase accents:
247 (i) The clause initial verb is always associated with a pitch rise (=L-H phrase accent); (ii)
248 the immediately post-verbal phrase is always associated with a pitch rise on the the first
249 content word contain within it (=Generalization (5a)); and (iii) a clause final phrases is
250 never associated with a L-H phrase accent on their first content word (=Generalization
251 (5b)). The presence of a H-L phrase accent on the final content word of the subject and ob-
252 ject in sentence (1) is accounted for by rule (19b), which assigns a H-L phrase accent to the
253 right edge of ϕ_{DP} and $\phi_{VP/DP}$. Note that this rule, in contrast to the rule that assigns the L-H
254 phrase accent, applies to all ϕ 's regardless of whether they are minimal or non-minimal ϕ 's.
255 In the next two section, we turn our attention to sentences consisting of three post-verbal
256 arguments and Generalization (5c).

4 ‘Optional’ L-H Phrase Accents

The analysis of the distribution of phrase accents in Tagalog thus far is identical to the analysis proposed in Elfner (2012, to appear) to account for the distribution of L-H and H-L phrase accents in Connemara Irish. At this point, in fact, virtually every detail of the analysis of the distribution of phrase accents in the Tagalog sentence in (1) parallels Elfner’s analysis of the distribution of phrase accents for the Irish VSO sentence in (20). Note that the distribution of phrase accents here (as shown in the annotated form in (20b)) is identical to the Tagalog sentence in (1).

- (20) a. Díolfaidh rúnaí dathúil blathanna áille.
 see.fut secretary handsome flowers beautiful
 ‘The handsome secretary will sell beautiful flowers.’ (Elfner 2013:5)
- b. [_v ^{L-H}díolfaidh] [_s ^{L-H}rúnaí ^{H-L}dathúil] [_o blathanna
 sell.fut secretary handsome flowers
^{H-L}áille]
 beautiful.pl

On the approach being taken here, the parallels between Tagalog and Irish emerge from the assumption that the intonational contours observed in both languages can be described in the same terms of a L-H and H-L phrase accent distributed according to the rules in (19). Significantly also, the parallels follow on the crucial assumption that the clause structure of Tagalog and Irish is organized in much the same fashion—namely, along the lines represented by (15).⁷

While the distribution of phrase accents in Tagalog is, surprisingly, nearly identical to Irish, there is at least one subtle difference between the two languages. In Irish ditransitive configurations (of the form VSOIO) like (21), there is an obligatory L-H phrase accent aligned to the left edge of the direct object.

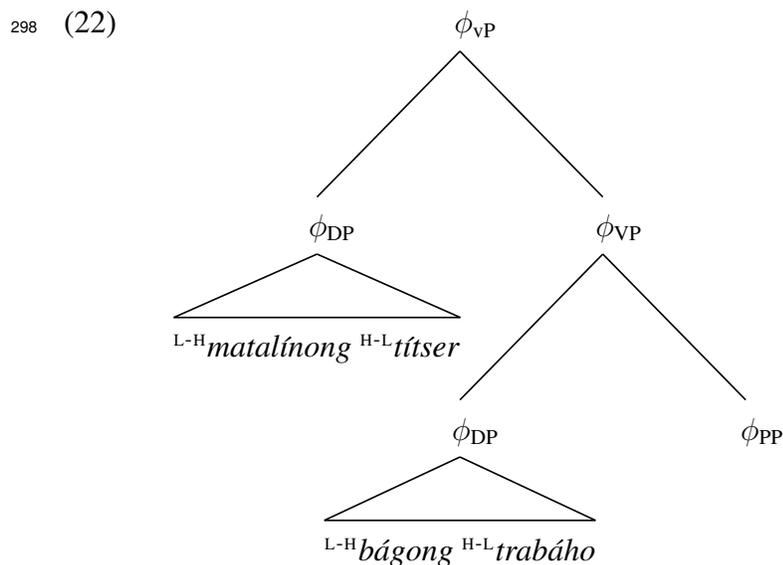
- (21) a. díolfaidh rúnaí dathúil blathanna áille le daoine anamúla.
 sell.fut secretary handsome flowers beautiful.pl with people animated.pl
 ‘A handsome secretary will sell beautiful flowers to animated people.’ (Elfner 2013:15)

⁷For Irish, the clause structure in (15) has been amply argued for in several works by McCloskey (1991, 1996a, 1996b, 2011). There have been a number of modifications to this picture concerning the exact locations of the verb and subject, but the overall approach has not changed much and the exact details do not bear on the present discussion.

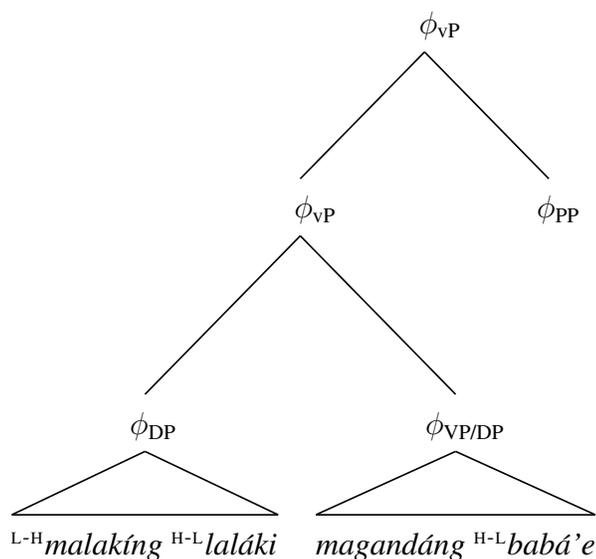
282 b. [v ^{L-H}díolfaidh] [s ^{L-H}rúnaí ^{H-L}dathúil] [o ^{L-H}blathanna
 sell.fut secretary handsome flowers
 283 ^{H-L}áille] [_{IO} le daoine ^{H-L}anamúla].
 beautiful.pl with people animated.pl

284 In Tagalog, by contrast, a L-H phrase accent may but apparently need not be aligned with
 285 the left edge of the direct object in corresponding VSOIO sentences. The clause medial
 286 object in example (6), for instance, surfaces with a L-H phrase accent but no discernible L-
 287 H phrase accent appears to be associated with the clause medial object in the nearly parallel
 288 example in (8).

289 If the approach we are taking here is to provide us with a principled account of the
 290 distribution of phrase accents, then the presence versus absence of a L-H phrase accent
 291 for clause medial objects should flow from the prosodic phrasing. More specifically, the
 292 prosodic structure in (22) (representing the ϕ corresponding to the vP) is the one we expect
 293 for the VSOIO sentence in (6) where the direct object is associated with a L-H phrase accent.
 294 On the other hand, the prosodic structure in (23) is the one we should expect for the VSOIO
 295 sentence in (8) where the direct object is not associated with a L-H phrase accent. (The
 296 specific choice of labels indicating the syntactic category that each ϕ corresponds to will
 297 be made clear shortly.)

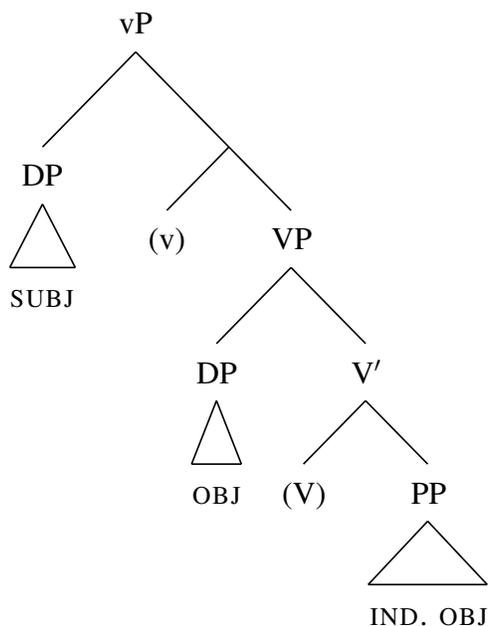


299 (23)



300 Given the framework we are adopting here, phonological phrasings ideally should be
 301 determined straightforwardly by a mapping from the syntax to the phonology. The question
 302 we might ask, then, is this: What are the syntactic structures that provide the basis for a
 303 mapping to the prosodic structures in (22) and (23)? Consider first the prosodic phrasing
 304 in (22). This phrasing is exactly the one we would expect given a descending VP-structure
 305 of the sort envisaged by Larson (1988, 1990) among many others, shown in (24), whereby
 306 the indirect object (as well as adverbials, if present) are merged as inner-complements of
 307 the verb.

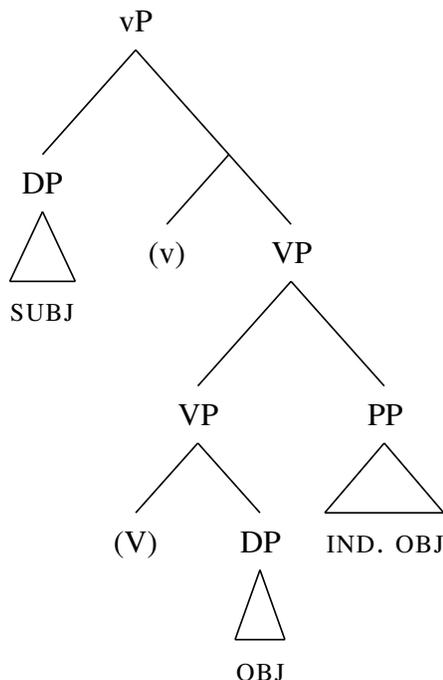
308 (24)



309 Give this syntactic structure, the prosodic structure in (22) corresponds pretty much
310 isomorphically assuming the correspondences between the ϕ 's and syntactic categories in-
311 dicated. The phonological phrasing in (23), on the other hand, is not consistent with MATCH
312 PHRASE given this structure since there is no ϕ in this prosodic structure that corresponds
313 to the VP and which includes all and only the phonological material corresponding to the
314 categories dominated by the VP.

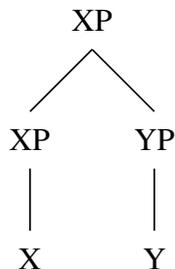
315 On the other hand, the prosodic structure in (23) is what we would expect as the map-
316 ping from an *ascending* VP structure such as (25) where the indirect object is right-adjoined
317 to the VP.

318 (25)



319 In order to understand exactly why this structure would lead to the prosodic phrasing in
 320 (23), we must first introduce certain assumptions about adjunction structures. Concretely, it
 321 is quite standardly assumed that for structures involving adjunction of the type schematized
 322 in (26), each XP is not a distinct category XP but a *segment* of a single category, XP (May
 323 1985; Chomsky 1986, 1995).

324 (26)



325 Given the definition of dominance in (27), it follows from this segment theory of adjunction
 326 that XP in (26) dominates only X, but not YP/Y since YP is not dominated by every segment
 327 of XP.⁸

328 (27) DOMINANCE

⁸See May (1985); Chomsky (1986; 1995:Ch. 4); Kracht (1998); Chametzky (2003); Hornstein & Nunes (2008), among others.

329 X dominates Y if and only if Y is contained within all segments of X .

330

331 Given (24), the VP in (25) dominates only the direct but not the indirect object, since
332 the latter is not dominated by every segment of VP. This, in turn, has important conse-
333 quences for the prosodic structure that will be formed from the syntactic structure given
334 the mapping principle MATCH PHRASE which makes crucial reference to dominance rela-
335 tions established in the syntax. Concretely, since the indirect object is not dominated by the
336 VP in (25), the ϕ which corresponds to the VP (ϕ_{VP}) should not include the phonological
337 phrase corresponding to the indirect object (ϕ_{IO}). Instead, ϕ_{IO} must minimally be included
338 a the level of the ϕ corresponding to the vP (ϕ_{vP}), the next highest category above VP that
339 dominates the indirect object. Putting all of this together yields the prosodic structure in
340 (27) where ϕ_{IO} is represented as being prosodically adjoined (in the sense of Ito & Mester
341 2012, 2013) to ϕ_{vP} . Note that in this structure ϕ_{vP} , which is collapsed with ϕ_O (the ϕ
342 corresponding to the direct object), is a minimal ϕ . Given this, no L-H phrase accent is
343 associated with the direct object.

344 Assuming the prosodic phrasings in (22) and (23) as mappings from the syntactic struc-
345 tures in (24) and (25), respectively, the obligatory L-H phrase accents associated with the
346 direct object for the Irish example in (21) follows if (24) is the only available syntactic
347 structure to represent ditransitive (VSOIO) configurations in Irish. For Tagalog, where the
348 L-H phrase accent optionally associates with the object in similar configurations, a tanta-
349 lizing possibility to consider is that the syntactic representations in (24) and (25) are both
350 available in the grammar. Under this approach, then, the prosodic phrasing needed to de-
351 scribe the distribution of phrase accents for sentence (6) (i.e. the phrasing in (22)) would
352 be mapped from the structure in (24). The prosodic phrasing needed to describe the dis-
353 tribution of phrase accents for sentence (8) (i.e. the phrasing in (23)), on the other hand,
354 would be mapped from the structure in (25).

355 How plausible is this ‘dual VP’ analysis from a syntactic perspective? As it happens, the
356 possibility of co-existing ascending and descending syntactic structures for VP for ditran-
357 sitive constructions is prefigured by Pesetsky (1995) to account for certain phrase structure
358 paradoxes in English (see also Phillips 1996, 2003; Lechner 2003; Landau 2007; and Janke
359 & Neeleman 2012). Pesetsky observes, for instance, that the English VP-fronting construc-
360 tion in (28) requires an analysis that makes references to both an ascending and descending
361 VP-structure. The ascending structure is necessary to account for the fact that the verb,

362 object, and indirect object act as a constituent excluding the temporal adverb for purposes
 363 of VP-fronting. On the other hand, a descending VP-structure, in which the indirect object
 364 c-command the temporal adverb, is necessary in order to account for the binding (by the
 365 indirect object) of the reciprocal contained within the temporal adverb.

366 (28) John intended to give the book to the children, and
 367 [VP give the book to them_i] he did *on each other_i's birthdays*.

368 Similar types of evidence from Tagalog seem to support a dual-VP analysis. For in-
 369 stance, support for an ascending VP-structure comes from a process of VP-ellipsis. In
 370 Tagalog, VP-ellipsis constructions take the form a ‘V-stranding VP-ellipsis’ (Goldberg
 371 2005) in which the verb’s arguments are omitted but a verb remains behind. Consider
 372 (29). The elided material is represented as [VP Δ].⁹

373 (29) Nagbigay si Juan ng regalo sa mga estudyante, at nagbigay naman si Maria
 gave S Juan NS gift OBL PL student and gave also S Maria
 374 [VP Δ].

375 ‘Juan gave a gift to the students, and Maria did too.’

376 The fact that the verb remains behind in constructions involving VP-ellipsis follows from
 377 the assumption (see Section 3.2) that the verb raises out of the VP and hence ‘survives’
 378 ellipsis. Thus, a fuller representation of the ellipsis process in (29) would be as given in
 379 (30).

380 (30) ...at [TP nagbigay_i si Maria [VP t_i ng regalo sa mga estudyante]]
 and gave S Juan NS gift OBL PL student

381 Note now that VP-ellipsis may result in omission of the direct object alone, with the
 382 indirect object remaining overt.

383 (31) Nagbigay si Juan ng regalo sa mga estudyante, at nagbigay si Maria [VP Δ]
 gave S Juan NS regalo OBL PL student and gave S Maria
 384 sa kanyang ina.
 OBL 3SG(OBL) mother
 385 ‘Juan gave a gift to the students, and Maria did to her mother.’

⁹See Richards (2003) for evidence that constructions like (29) do indeed involve VP-ellipsis rather than some type of *pro*-drop.

386 Example (31) thus suggests that there is a VP-constituent that contains the direct object
 387 but excludes the indirect object. Accordingly, the fuller representation showing the ellipsis
 388 process in (31) would be as given in (32).

389 (32) ...at [TP nagbigay_i si Maria [VP [VP t_i ng regalo] sa kanyang ina]]
 and gave S Maria NS gift OBL 3SG(OBL) mother

390 Crucially, VP-ellipsis where the indirect object survives ellipsis is only predicted to
 391 be possible given the possibility of an ascending VP structure like (25). On the other
 392 hand, examples like (33) appear shows that an indirect object can c-command a (right
 393 peripheral) temporal adverb, which therefore also supports the availability of a descending
 394 VP-structure.

395 (33) Nagbigay ang titser ng regalo sa bawa't estudyante_i sa kanyang_i
 gave S teacher NS gift OBL every student OBL 3SG(OBL)
 396 kaarawan.
 birthday
 397 'The teacher gave a gift to every student_i on his/her_i birthday.'

398 Overall, then, the 'dual VP' analysis seems to be justified for Tagalog from a syntactic
 399 perspective.

400 Positing co-existing descending and ascending VP's only takes us so far, however, in
 401 our account of the distribution of the L-H phrase accent. This is because the 'optionality'
 402 of the L-H phrase accent associated with the direct object in VSOIO sentences is part of a
 403 broader generalization: In sentences containing three post-verbal arguments, a L-H phrase
 404 accent is optionally associated with *whatever phrase* surfaces in clause medial position.
 405 In example (34) which exhibits VOSIO word order, for instance, the clause medial sub-
 406 ject surfaces with a L-H phrase accent aligned at its left edge, while in example (35) the
 407 clause medial subject surfaces without a L-H phrase accent. (Pitch tracks for all subsequent
 408 examples can be found in the Appendix.)

409 (34) a. Nagbigay ng murang kotse *ang mayamang baba'e* sa mahinang lalaki.
 gave NS cheap.LK car S rich.LK woman DAT weak.LK man
 410 'The rich woman gave a cheap car to the weak man.'
 411 b. [_V ^{L-H}nagbigáy] [_O ng ^{L-H}múrang ^{H-L}kótse] [_S ang ^{L-H}mayámang
 PERF.give NS cheap.LK car S rich.LK
 412 ^{H-L}babáe] [_{IO} sa mahínang ^{H-L}laláki].
 woman DAT weak.LK man

- 413 (35) a. *Humahabol ng magandang baba'e ang malaking lalaki sa kagubatan.*
 chasing NS beautiful.LK woman S large.LK man LOC forest
 414 'The large man is chasing the beautiful woman into the forest.'
- 415 b. [_v ^{L-H}humahábol] [_o ng ^{L-H}magandáng ^{H-L}babá'e] [_s ang
 IMPERF.chase NS beautiful.LK woman S
 416 malakíng ^{H-L}laláki] [_{io} sa ^{H-L}kagubátan].
 large.LK man LOC forest

417 The examples in (36-37) (two productions of the same sentence) exhibit VOIOS (=clause
 418 medial indirect object) word order. In example (36) the indirect object surfaces with a L-H
 419 phrase accent aligned at its left edge, while in example (37) the indirect object surfaces
 420 without a L-H phrase accent aligned at its left-edge.

- 421 (36) a. *Nagbigay ng pulang bulaklak sa matalinong guro ang batang*
 gave NS red.LK flower DAT smart.LK teacher S young.LK
 422 *baba'e.*
 woman
 423 'The young woman gave a red flower to the smart teacher.'
- 424 b. [_v ^{L-H}nagbigáy] [_o ng ^{L-H}púlang ^{H-L}buláklak] [_{io} sa
 PERF.give NS red.LK flower DAT
 425 ^{L-H}matalínong ^{H-L}gúro] [_s ang ^{H-L}bátang ^{H-L}babáe].
 smart.LK teacher S young.LK woman
- 426 (37) a. *Nagbigay ng pulang bulaklak sa matalinong guro ang batang babae.*
 gave NS red.LK flower DAT smart.LK teacher S young.LK girl
 427 'The young girl gave a read flower to the smart teacher.'
- 428 b. [_v ^{L-H}nagbigáy] [_o ng ^{L-H}púlang ^{H-L}buláklak] [_{io} sa matalínong
 PERF.give NS red.LK flower DAT smart.LK
 429 ^{H-L}gúro] [_s ang ^{H-L}bátang ^{H-L}babáe].
 teacher S young.LK girl

430 Positing (24) and (25) as co-existing VP structures, while possibility motivated for
 431 syntactic reasons and therefore independently necessary, cannot provide a full explanation
 432 for why this broader generalization holds for sentences with these other word orders in
 433 addition to sentences like (6) and (8) with VSOIO word order.

434 Of course, an explanation for this broader generalization can't be reached without an
 435 analysis of how the various surface positions of the subject are derived in the first place.
 436 Here we potentially get into murky territory, as the Tagalog syntax literature offers at least
 437 two opposing approaches to the attested word order options in the language. That said,

438 I argue in the next section that the broader generalization concerning L-H phrase accents
439 and clause medial phrases in fact provides a crucial missing argument that allows us to
440 adjudicate among these contrasting approaches. Although a ‘dual VP’ analysis will not
441 play a crucial role in the discussion to follow, I will nonetheless assume that (24) and
442 (25) are both available in the grammar of Tagalog. This assumption is validated by the
443 syntactic evidence discussed above, and—as we will see—the availability of the ascending
444 VP-structure in (25) plays an important role in the overall analysis that will be offered.¹⁰

445 **5 Word Order and Subject Lowering**

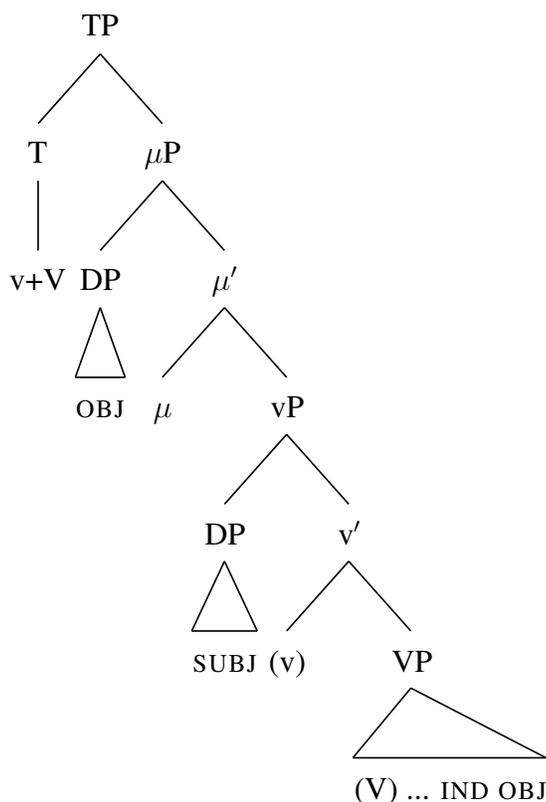
446 One of the outstanding debates in the Tagalog syntax literature concerns the source of the
447 various word order permutations that are observed in the language, specifically relating to
448 the various surface positions available for the subject. All recent works (to my knowledge)
449 agree on a basic claim embodied in the structure in (15) from above—namely, that in the
450 ‘surface structure’ the verb is to the left of a constituent that contains the subject and other
451 arguments of the verb. Beyond this, views differ concerning how the various post-verbal
452 positions for the subject are derived.

453 According to one view, word orders in which the subject is immediately post-verbal
454 (i.e. VSO(IO)) are basic and are derived simply, as suggested in (15), by head-movement
455 of the verb out of the vP and to the left of the subject which resides in its thematic position,
456 Spec, vP (Rackowski 2002; Richards 2003; Aldridge 2004; Rackowski & Richards 2005;
457 among others). Other word orders are then presumed to be derived by movement of non-
458 subject arguments to the left of the subject. Thus, a sentence with VOS(IO) word order
459 might be derived, according to this view, as illustrated in (38) where the object has moved
460 to the specifier of functional projection located above the vP.¹¹

¹⁰I leave open the question of whether both VP-structures literally ‘co-exist’ within the grammar, or whether the structure in which the indirect object is adjoined to VP might instead be derived from the descending VP-structure.

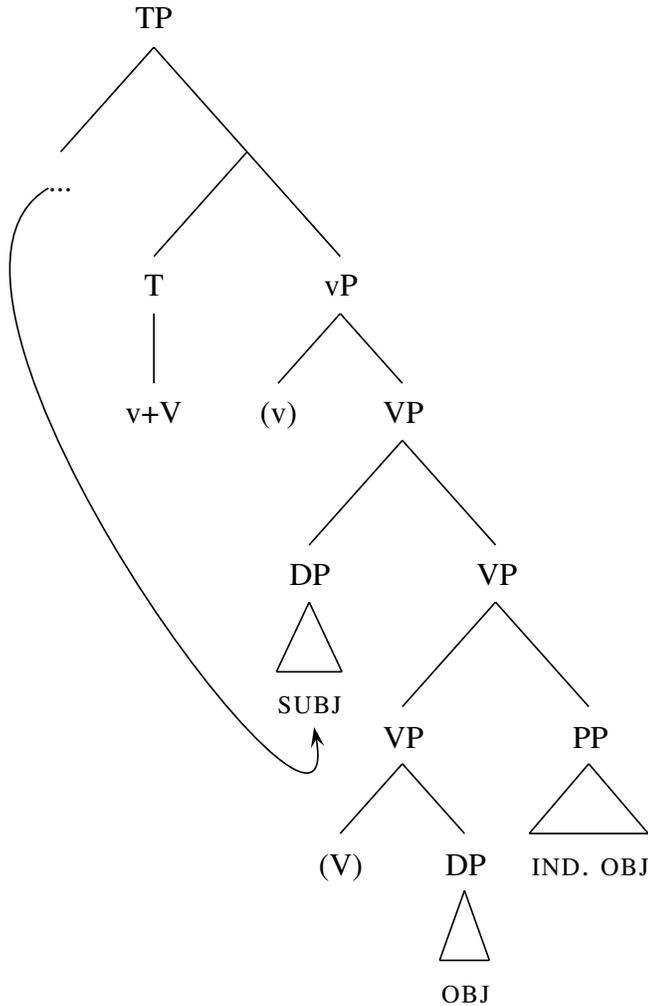
¹¹Alternatively, the non-subject argument might be assumed to move to an ‘outer specifier’ of the vP, assuming the validity of multiple specifiers (though see Grohmann 2001 for an important dissenting view). This detail does not bear on the argument to be made in the main text.

461 (38)



462 An alternative, though more controversial, analysis proposes that all surface positions
 463 for the subject result from an operation that lowers the subject from a relatively high posi-
 464 tion in the clause (e.g. Spec, TP) and adjoins it to a segment of the VP. According to this
 465 analysis, argued for by Sabbagh (2005, 2014), a sentence with VSOIO word order results
 466 when the subject is lowered and adjoined to the left of the segment of the VP that includes
 467 the object (and the indirect object), as illustrated in (39).¹²

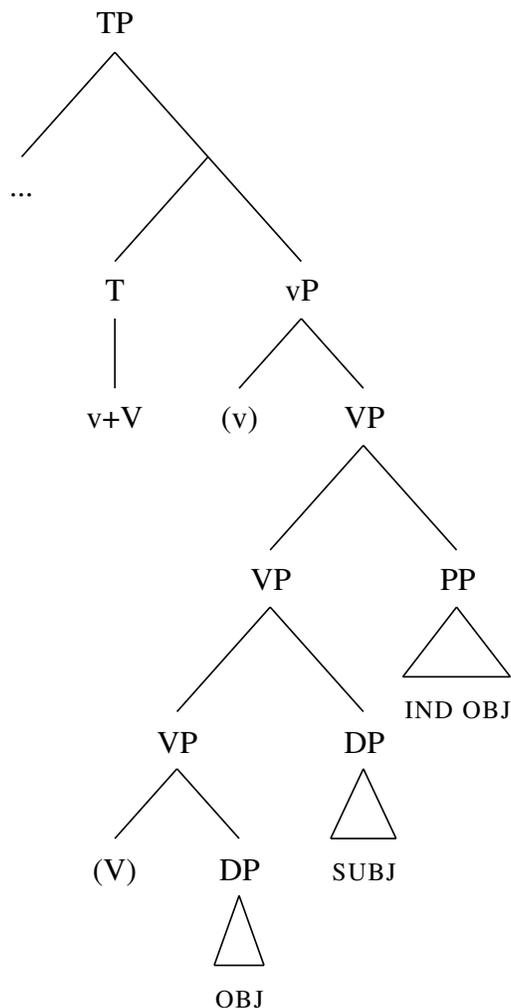
¹²Choe 1987 and Chung (1990, 1998) were the first to propose that verb initial word order might be derived by subject lowering. The syntactic argument summarized in Section 5.2 for subject lowering in Tagalog is modeled on Chung's argument for subject lowering in Chamorro.



469 Assuming the possibility of adjunction of the indirect object made possible by the ‘dual
 470 VP’ analysis discussed in Section 4, a sentence with VOSIO word order can be assumed to
 471 result from subject lowering and adjunction of the subject to the right of the segment of the
 472 VP containing the direct object but not the indirect object as illustrated in (40).¹³

¹³Additional support for the (possibility of) adjunction comes from restrictions on extraction in Tagalog. In Tagalog and many other ‘Philippine type’ languages, non-subject arguments—namely, direct objects and agents of passive clauses are unable to relativize or otherwise be extracted. Significantly, indirect objects pattern with uncontroversial adjuncts in being eligible for extraction. From this perspective, then, indirect objects and (uncontroversial) adjuncts form a natural class.

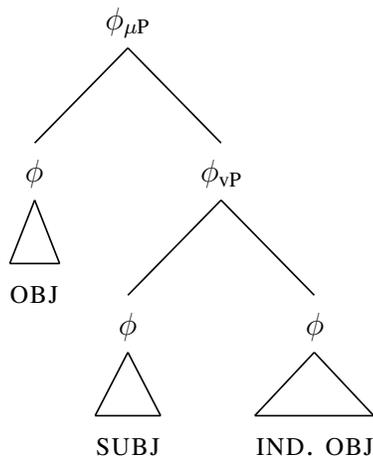
473 (40)



474 Putting aside for now what the syntactic argument is for subject lowering (see Section
 475 5.2), I wish to argue now that the distribution of the L-H phrase accent—in particular,
 476 Generalization (5c)—offers an argument for this approach.

477 Crucially, if (38) represents the correct analysis for sentences with word order VOSIO,
 478 then we predict only a single prosodic structure corresponding to this syntactic representa-
 479 tion that is consistent with MATCH PHRASE. This is the prosodic structure shown in (41)
 480 where the ϕ corresponding to the object and to the subject both occur on the left branch of
 481 another ϕ ($\phi_{\mu P}$ and ϕ_{vP} , respectively).

482 (41)



483 Since (41) is the only prosodic structure we would expect on the basis of (38), we also
 484 predict an obligatory L-H phrase accent to be obligatorily aligned to the left edge of the
 485 subject.¹⁴ As we have already seen, this prediction is incorrect. While a clause medial
 486 subject may surface with a L-H phrase accent aligned at its left edge as in example (34), the
 487 subject may also surface with no L-H phrase accent as in example (35).

488 By way of contrast, consider now the analysis of VOSIO word order as envisaged by the
 489 subject lowering analysis. According to this analysis, the subject is adjoined to the VP and
 490 is therefore not dominated by the VP given (27). Assuming VP-adjunction of the indirect
 491 object as well (see Section 4), the indirect object will likewise not be dominated by the VP.
 492 Given this and given MATCH PHRASE, the ϕ 's corresponding to the subject and the indirect
 493 object (ϕ_S and ϕ_{IO}) will not be included in the prosodic structure in the ϕ that corresponds
 494 to the VP ϕ_{VP} . Instead, they will be included in the ϕ corresponding to the vP (ϕ_{vP}), the
 495 next higher category in the syntactic representation which does dominate the subject and
 496 the indirect object.

497 Continuing to assume that phonological phrases are optimally binary (see Section 3),
 498 there are two prosodic structures that would be a valid mapping from (40). These are
 499 shown in (42) and (43) representing the prosodic structures for the examples (34) and (35),
 500 respectively.¹⁵

¹⁴This is true regardless of whether the indirect object is adjoined or not.

¹⁵See Bennett et. al. (to appear, pp. 34-37) for a related discussion of the phonological phrasing options available in Irish for constructions involving multiple adjunction.

516 prosodic structure for sentences with VOSIO and hence predicts only one possibility viz-a-
 517 viz the distribution of the L-H phrase accent associated with the clause medial phrase.

518 While subject lowering is not crucial to an analysis of the distribution of L-H phrase
 519 accents in sentences with VSOIO word order (we were able to account for this in Section
 520 4 without subject lowering, given the availability of both a descending and ascending VP-
 521 structure), it is also consistent with it. In particular, the prosodic structures in (22) and (23)
 522 (as phonological phrasings for (6) and (8), respectively) are equally legitimate mappings
 523 from (39), the presumed syntactic representation of a sentence with VSOIO according to
 524 the subject lowering hypothesis. In (39) as in (40) the subject and indirect object are both
 525 adjoined to VP, and hence not dominated by VP. Given this, ϕ_S and ϕ_{IO} will therefore not be
 526 included in ϕ_{VP} , but rather will be included in ϕ_{VP} the next highest category in the syntactic
 527 representation that dominates both the subject and the indirect. Since the ϕ_S and ϕ_{IO} are not
 528 adjacent as they are in (40) (i.e. when the order is VOSIO), they cannot merge together into a
 529 single ϕ that will be prosodically adjoined to ϕ_{VP} . Instead, ϕ_S and ϕ_{IO} must be prosodically
 530 adjoined independently to ϕ_{VP} . Supposing that there is no restriction on the ordering by
 531 which these ϕ 's adjoin to ϕ_{VP} , the prosodic structure in (22) can be viewed as resulting from
 532 prosodic adjunction of ϕ_{IO} to ϕ_{VP} followed by adjunction of ϕ_S . The prosodic structure
 533 in (23), then, would be formed by prosodic adjunction of ϕ_S to ϕ_{VP} followed by prosodic
 534 adjunction of ϕ_{IO} .¹⁷

535 Subject lowering is crucial to an account of the distribution of the L-H phrase accent
 536 for sentences with VOIOS word order such as (36-37), where, as we have already noted, the
 537 clause medial indirect object is optionally associated with a L-H phrase accent. The details
 538 of the analysis for these examples are essentially the same as the analysis of the examples
 539 in (34) and (35). Concretely, assuming a structure identical to (40) but with the order
 540 of subject and indirect object reversed, two mappings from this structure to the prosodic
 541 structure are available. For (36), the prosodic structure is as shown in (44) in which ϕ_{IO}
 542 and ϕ_S have merged together forming a ϕ that is prosodically adjoined to ϕ_{VP} (compare to
 543 the analysis of the prosodic structure of (36a)). For (37), the prosodic structure is the one
 544 shown in (45) where ϕ_{IO} and ϕ_S have been independently prosodically adjoined to ϕ_{VP} .

545 (44) $(\phi^{L-H} \textit{nagbigay} (\phi (\phi^{L-H} \textit{pulang}^{H-L} \textit{bulaklak}) (\phi (\phi^{L-H} \textit{matalinong}^{H-L} \textit{guro}) (\phi$
 546 $\textit{batang}^{H-H} \textit{babae})))$

¹⁷Alternatively, one might stipulate that prosodic adjunction must operate from left to right. If so, the different prosodic structures could be related to the difference between a descending and ascending VP-structure as proposed in Section 4.

547 (45) $(\phi^{L-H} \textit{nagbigay} (\phi (\phi (\phi^{L-H} \textit{pulang}^{H-L} \textit{bulaklak}) (\phi \textit{matalinong}^{H-L} \textit{guro})) (\phi$
 548 $\textit{batang}^{H-L} \textit{babae})))$

549 Were we to adopt the alternative to subject lowering according to which word orders
 550 that do not involve an immediately post-verbal subject are derived by leftward movement,
 551 then VOIOS would be the result of moving both the object and the subject to a left-peripheral
 552 positions, as schematized in (46).

553 (46) $[_{TP} v+V [_{DP}_O [_{PP}_{IO} [_{VP} DP_S [_{v'} (v) [_{VP} \text{---}O \text{---}IO]]]]]]]$

554 Problematically, the only prosodic structure that would be a valid mapping from this syn-
 555 tactic representation is the one in (44), which incorrectly predicts that the indirect object
 556 should always surface with a L-H phrase accent aligned at its left edge.

557 5.1 Summary and Further Consequences

558 We have argued thus far, as follows: Subject lowering, in conjunction with the claim that
 559 indirect objects may be realized syntactically as VP-adjuncts, provides the basis for an
 560 account of the generalization that in clauses with three post-verbal arguments, the clause
 561 medial argument is optionally realized with a L-H phrase accent (=Generalization (5c)).
 562 The key ingredient of the analysis is the way that syntactic adjunction interacts with the
 563 mapping principle MATCH PHRASE. Concretely, because the subject and indirect object are
 564 adjuncts, the ϕ 's corresponding to these phrases will not be included in the ϕ corresponding
 565 to the category (VP) to which they are adjoined. MATCH PHRASE then imposes only the
 566 relatively weak requirement that the ϕ corresponding to the subject and the indirect object
 567 be included in some ϕ corresponding to a category in the syntax that dominates both of
 568 them (e.g. vP). Inclusion at this level can be satisfied in more than one way, resulting in
 569 different phonological phrasings and consequently a different distribution of the L-H phrase
 570 accent.

571 We have further argued that an analysis which employs leftward movement over the
 572 subject to derive word orders where the subject is not immediately post-verbal cannot pro-
 573 vide an account for the 'optional' L-H phrase accent associated with clause medial phrases.
 574 The reason for this can be summarized quite simply: According to the leftward-movement
 575 approach, the clause medial phrase (in sentences with three post-verbal arguments) is pre-
 576 sumed to be a left-specifier of some (functional) phrase. Given the mapping principle

577 MATCH PHRASE, the ϕ corresponding to the clause medial phrase will therefore always
 578 be included as the left branch of the ϕ corresponding to the phrase whose specifier it oc-
 579 cupies, a configuration which leads to the expectation of an obligatory L-H phrase accent
 580 associated with the clause medial phrase (given rule (19a)).

581 If the argument made here is valid, then the evidence from the distribution of phrase
 582 accents converges nicely with the syntactic evidence for subject lowering. The syntactic
 583 evidence for subject lowering comes from word order in sentences with coordination. Sen-
 584 tences like (47) (from Sabbagh 2014:66) are the crucial examples for this argument. Here,
 585 two clause like constituents are coordinated and have a shared subject which surfaces in a
 586 intermediate position within the rightmost conjunct.¹⁸

587 (47) Hindi [*pumunta sa tindahan*] o [*bumili ang kapatid ko ng bigas*].
 not go LOC store or buy S brother 1SG(GEN) NS rice
 588 ‘My brother didn’t go to the store or buy any rice.’

589 Sabbagh (2014:65-67) argues that examples like (47) cannot be analyzed as in (48),
 590 with the subject that surfaces in the rightmost conjunct serving as the antecedent for a null
 591 pronoun in the initial conjunct.

592 (48) [V ... *pro_i* ... XP] conj. [V ... DP_i ... XP]

593 This configuration violates independently motivated restrictions on anaphora (see, e.g.
 594 Kroeger 1993:115-118) and is therefore untenable as a representation for sentences like
 595 (47). Subject lowering, on the other hand, offers a straightforward account of how the
 596 subject can be shared by both conjuncts yet surface in a position where it plainly does not
 597 scope over both conjuncts. Concretely, supposing that the subject originates in a relatively
 598 high position in the clause (e.g. Spec, TP) above the coordinate structure accounts for the
 599 fact that the subject is shared by each conjunct. Subject lowering then accounts for the
 600 position where the subject surfaces.

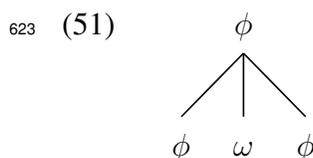
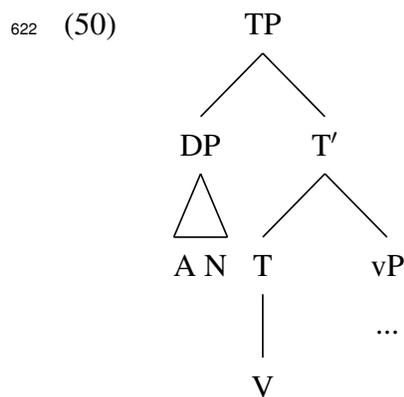
601 (49) [_{TP} (SUBJ) [V ... XP] conj. [V ... SUBJ ... XP]

602 To my knowledge, no alternative to subject lowering has been offered to account for subject
 603 sharing in examples like (47).

¹⁸The shared subject in fact may surface in other positions as well, either in the initial or final conjunct. See Sabbagh 2014 for additional examples.

604 Sabbagh (2014:79-81) argues that subject lowering applies post-syntactically.¹⁹ Sup-
 605 posing this, an important question that emerges is whether lowering applies before or after
 606 the mapping to prosodic structure has occurred (i.e. whether it apply to a syntactic rep-
 607 resentation or a purely phonological representation). I believe an argument can be made
 608 for claiming that subject lowering applies before the mapping to prosodic structure has oc-
 609 curred. In order to get to this argument, let us first consider what the motivation for subject
 610 lowering might be.

611 Assuming that subject lowering applies post-syntactically, it is presumably not moti-
 612 vated by the types of factors that movement operations are standardly assumed within Min-
 613 imalism to motivate movement (e.g. as a consequence of the operation *Agree*, to eliminate
 614 uninterpretable features, etc.). There is, however, a relatively simple prosodic motivation
 615 for subject lowering based on the constraint BINARITY which was introduced earlier. Con-
 616 cretely, assuming that the verb raises to the head position of the projection, TP, and that the
 617 subject occupies the specifier of TP in the ‘narrow’ syntax (i.e. prior to subject lowering),
 618 then the structure of the clause without subject lowering would be as shown schematically
 619 in (50). If subject lowering were not to apply then the prosodic structure that would be
 620 formed on the basis of (50) would be, as shown in (51), a prosodic structure that plainly
 621 violates BINARITY.



624 A consequence of subject lowering is that the ϕ corresponding to the subject is, in effect,
 625 removed from the ϕ corresponding to TP and is instead included in the (binary branching)
 626 ϕ corresponding to vP (see the prosodic structures above).²⁰

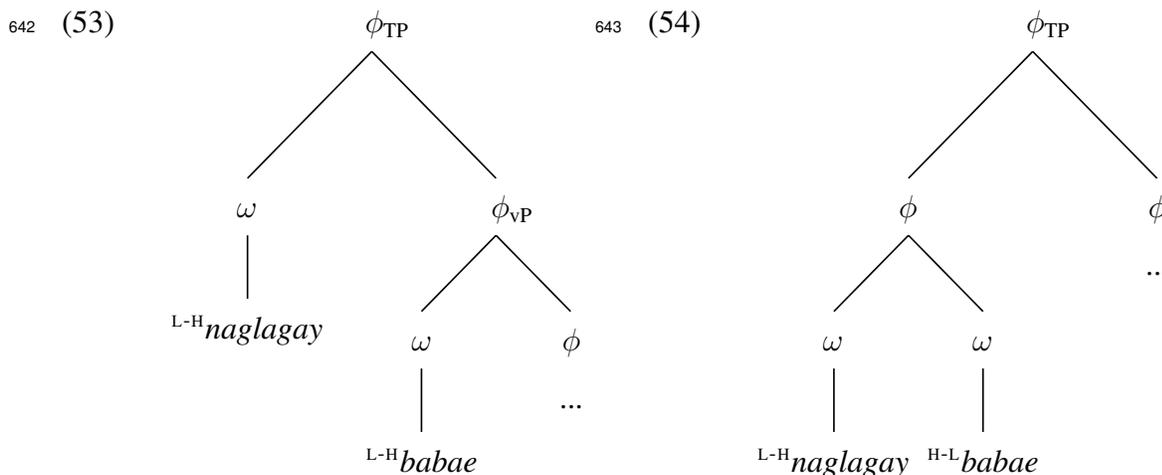
¹⁹Along with the argument offered for this by Sabbagh, one can add the fact that subject lowering does not appear alter binding or scope relations that are presumed to be established prior to subject lowering.

²⁰Sabbagh (2014) suggests a different prosodically based motivation for subject lowering based on a constraint, WEAK start, which requires prosodic constituents to begin with a category that is no higher on the

627 Consider now sentence (51). This sentence exhibits VSOIO word order but differs from
 628 other sentences with this word order that we have encountered so far in that the subject is
 629 simplex—i.e. consists just of a noun rather than a noun preceded by a modifying adjective.
 630 As the distribution of phrase accents in the annotated form in (52b) shows (see Appendix
 631 for pitch track), the immediately post-verbal subject is associated with a H-L phrase accent
 632 rather than a L-H phrase accent as we might expect by this point (cf. examples (1), (6), and
 633 (8))

- 634 (52) a. Naglagay ang baba'e ng malaking aklat sa sahog.
 PERF.place S woman NS big.LK book LOC floor
 'The woman put a large book on the floor.'
- 635
- 636 b. [_V ^{L-H}Naglagáy] [_S ang ^{H-L}babá'e] [_O ng malakíng ^{H-L}aklát] [_{IO} sa
 PERF.place S woman NS big.LK book LOC
 637 ^{HL}sahíg]
 floor

638 Given the presumed syntactic structure for (52), MATCH PHRASE, and the constraint
 639 BINARITY, the prosodic structure and distribution of phrase accents one might expect for
 640 (52) is as shown in (53). However, the actual distribution of phrase accents suggests instead
 641 that (54) is the correct prosodic structure for (52).



644 To explain the 'emergence' of the prosodic structure in (54) over (53), I assume follow-
 645 ing Bennett et. al (to appear) a constraint EQUAL SISTERS in (55) (see also Myrberg 2010,
 prosodic hierarchy than the prosodic constituent that follows. This constraint cannot be maintained, how-
 ever, on the assumption that simplex subjects (e.g. as in example (56) discussed below in the main text) also
 undergo subject lowering.

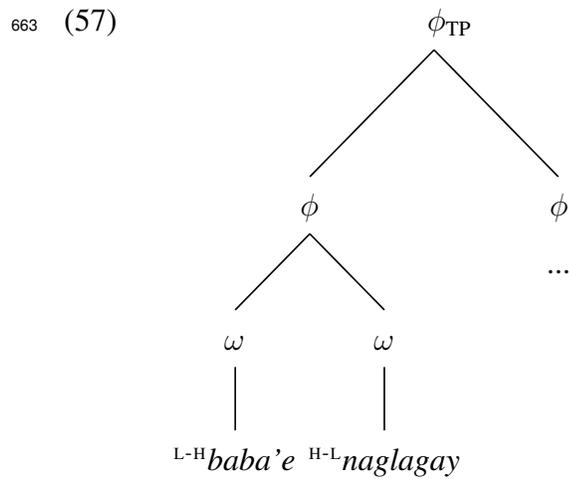
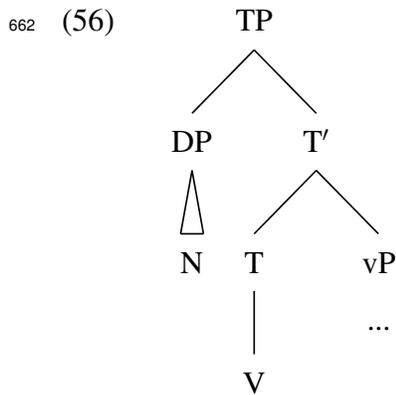
646 2013).

647 (55) EQUAL SISTERS

648 Sister nodes in a prosodic structure should be instantiations of the same prosodic
649 category.

650 The prosodic structure in (53) incurs violations of EQUAL SISTERS at the level of ϕ_{TP} and
651 ϕ_{VP} and is therefore dispreferred compared to the structure in (54). In short, whenever an
652 immediately post-verbal subject is simplex (i.e. prosodically, an instantiation of ω rather
653 than ϕ), the verb and the subject are grouped together under a single ϕ resulting in a struc-
654 ture that satisfies EQUAL SISTERS.

655 Returning now to our earlier question concerning the point at which subject lowering
656 applies (before or after mapping has taken place), note that structures that satisfy EQUAL
657 SISTERS also satisfy BINARITY. If subject lowering were to apply only after the mapping
658 to prosodic structure has taken place, there would in effect no longer be a motivation for
659 subject lowering when the subject is simplex. More concretely, both EQUAL SISTERS and
660 BINARITY would be satisfied by a mapping from the syntactic structure in (56) (with no
661 subject lowering and the subject in pre-verbal position) to the prosodic structure in (57).



664 It seems, then, that the subject needs to be in a post-verbal position prior to the point at
665 which EQUAL SISTERS applies ‘forcing’ the subject and the verb to be incorporated into
666 a single ϕ .²¹ Put in other words, unless subject lowering applies *before* the mapping to

²¹The implicit assumption here is that mapping between syntax and phonology preserves relations of linear precedence.

667 prosodic structure, placing the subject in a post-verbal position, there would be no princi-
668 pled basis for choosing among the prosodic structure in (54) (with a post-verbal subject)
669 and (57) (with a pre-verbal subject). Assuming that subject lowering applies before map-
670 ping, on the other hand, places the subject in a post-verbal position before mapping takes
671 place, i.e. before the subject and verb are grouped together into a single ϕ .

672 If the reasoning here is valid, a potentially puzzling question emerges. Concretely, if
673 subject lowering applies before the syntactic representation has been mapped to a phono-
674 logical representation, how can it be motivated, as suggested above, by the constraint BI-
675 NARITY—a constraint that is concerned with the organization of prosodic structure? If
676 both claims are correct, this suggests a certain amount of ‘look ahead’ in the grammar (i.e.
677 a operation applying to a syntactic representation must ‘anticipate’ the prosodic represen-
678 tation). This ‘look-ahead’, however, must be limited. In particular, subject lowering cannot
679 ‘know’ how the final prosodic representation will be structured to accord with the constraint
680 EQUAL SISTERS since, as argued above, prosodic structures which satisfy EQUAL SISTERS
681 also comply with BINARITY. In other words, because EQUAL SISTERS bleeds the moti-
682 vation for subject lowering, subject lowering must be able to apply without ‘anticipating’
683 how well the final prosodic representation will fair with respect to this constraint.

684 This type of interaction between the syntax and the phonology clearly goes beyond
685 what is imagined to be possible on a purely modular view of grammar where operations
686 applying to (purely) syntactic representations have no access to phonological information
687 (cf. Pullum & Zwicky 1988; and most current work within Minimalism). Instead, the pro-
688 posals here suggest a view of grammar of the type more recently argued for by Richards
689 (2014) (see also Richards 2010; and Zec & Inkelas 1990 for an earlier argument) accord-
690 ing to which operations applying to syntactic representations can have (limited) access to
691 information about the phonological representation. In particular, the grammar can perform
692 operations motivated by the phonology, but then “subsequently perform another operation
693 that obscures the motivation for the first”. As Richards (pp. 2) further describes this view
694 (more baldly):

695 “...[T]he grammar is building a kind of ‘rough draft’ of the final phonological
696 representation, which can differ from the actual final phonological represen-
697 tation, and it is this rough draft which drives syntactic operations.” (Richards
698 2014:2)

699 If the analyses offered here are on the right track, then they provide important con-

700 firmation for this general view of the interaction of syntax and phonology, and additionally
701 provide an important argument against a firmly modular view of grammar where operations
702 affecting syntactic representations have no access to phonological information.

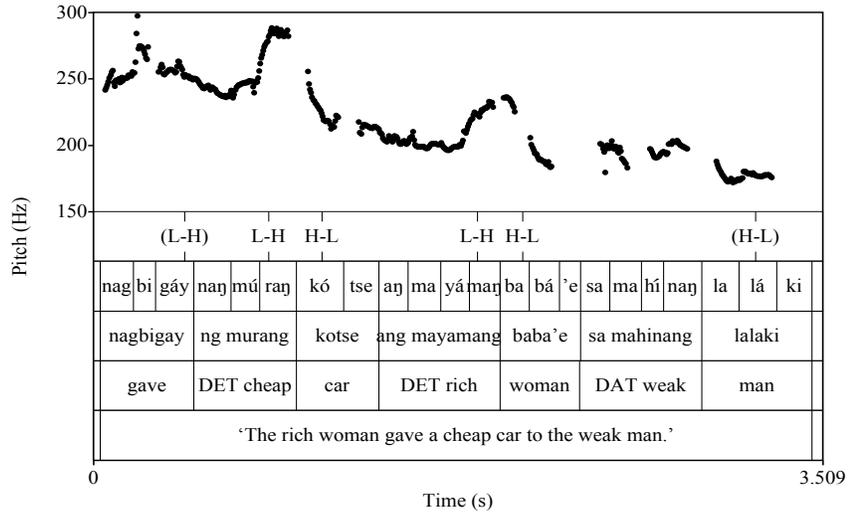
703 **6 Conclusion**

704 Research on the syntax-phonology interface has generally assumed that phonological rules
705 do not have direct access to syntactic structure, but instead operate on a prosodic structure
706 that is calculated from syntactic structure by a set of syntax-to-phonology mapping princi-
707 ples. Much of the research in this area has focussed primarily on identifying the prosodic
708 structure needed to correctly describe phonological processes and then identifying the cor-
709 rect mapping principles needed to derive the prosodic structures from a syntax that is fairly
710 well understood. Much less work, it seems, has been focused on finding phonological evi-
711 dence for syntactic structure (see Wagner in press for recent discussion). This work has
712 done just that by examining intonation in Tagalog and, in a certain sense, working back-
713 wards to deduce the correct syntactic structures from the the prosodic structure(s) needed
714 to correctly describe the distribution of pitch rises and pitch falls. Working in this way, we
715 were able to present a novel argument for the subject lowering account of verb initial word
716 order in Tagalog. Ideally, similar types of phonological evidence can be used for other
717 verb initial languages where the correct analysis of the phrase structure of these languages
718 remains a matter of controversy.

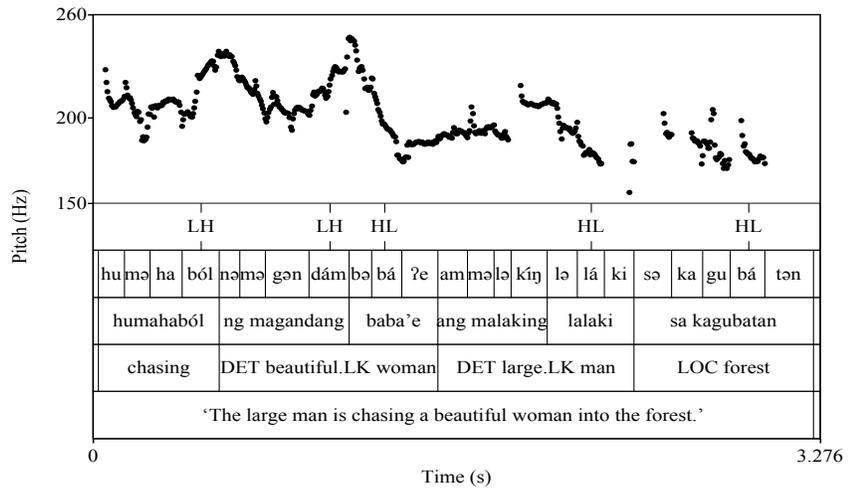
719 This work has also lead us to an conclusion about the interaction between syntax and
720 phonology that is surprising from the traditional modular view of grammar according to
721 which operations that apply to purely syntactic operations have no access to phonologi-
722 cal information. One the analysis developed here, subject lowering applies to a syntactic
723 representation but is motivated by a prosodic constraint. If this is correct, then prosodic
724 information must be available even before the prosodic structure has been calculated from
725 the syntactic representation. Crucially, access to prosodic information must however also
726 be limited, for reasons that we argued above. All of this raises the important theoretical
727 question of the extent to and depth to which phonological information can be accessed by
728 the syntax, and. Significantly, these interactions must be constrained for the reasons clearly
729 articulated by Pullum & Zwicky (1988). The important question, then, is how the gram-
730 mar is organized to such that some aspects of phonological representations are available to
731 syntax but not others.

732 **Appendix**

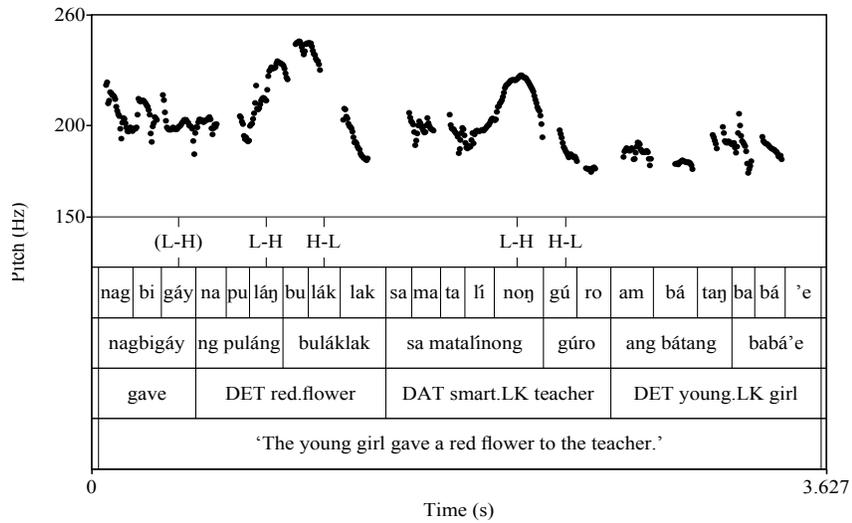
733 (58) PITCH TRACK FOR (34)



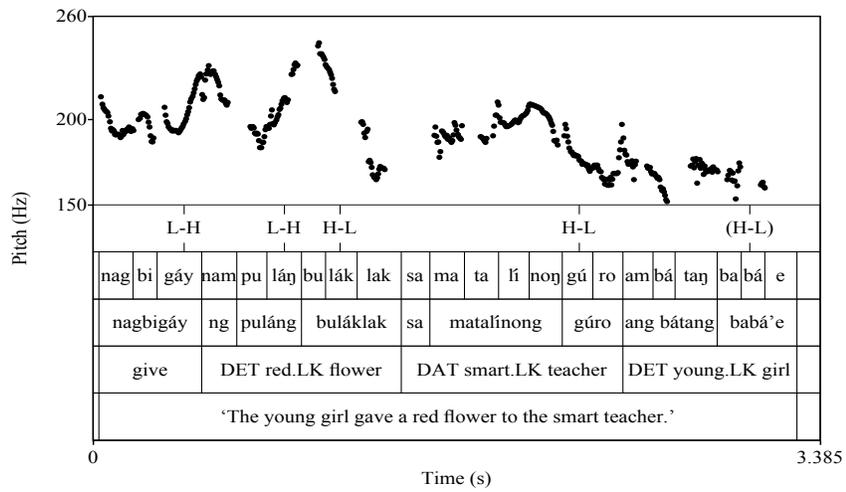
734 (59) PITCH TRACK FOR (35)



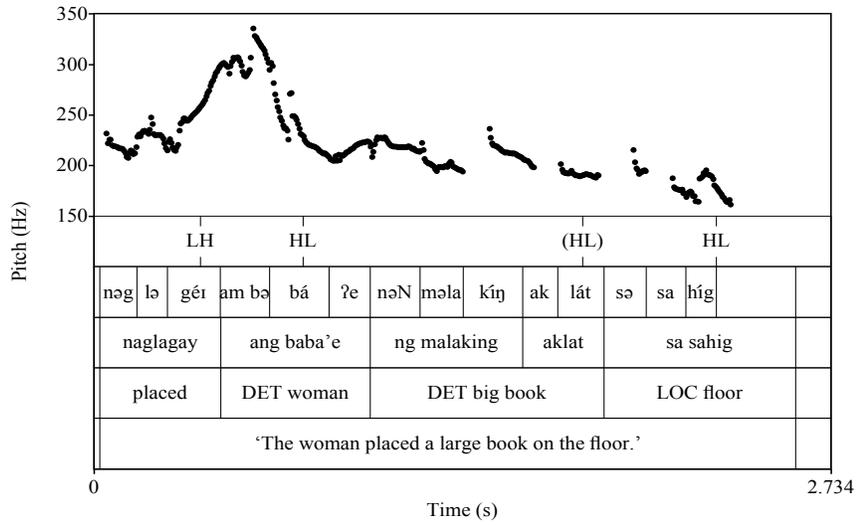
735 (60) PITCH TRACK FOR (36)



736 (61) PITCH TRACK FOR (37)



737 (62) PITCH TRACK FOR (52)



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